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Substitute for form 1449B/PTO		<b>Compleat if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/473,830
		Filing Date	December 28, 1999
		First Named Inventor	Leiden et al.
		Group Art Unit	1632/1633
		Examiner Name	Chen, Shin-Lin
		Attorney Docket Number	104914-127
Sheet	1	of	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
SL	A	Connolly, Daniel T et al., "Vascular Permeability Factor: A Unique Regulator of Blood Vessel Function," J. Cell. Biochem. 47:219-223 (1991)	
✓	B	Conrad, C.K. et al., "Safety of Single-Dose Administration of an Adeno-Associated Virus (AAV)-CFTR Vector in the Primate Lung," Gene Ther. 3:658-668 (1996)	
✓	C	Crumley, Gregg et al., "The Gene for Human Acidic Fibroblast Growth Factor Encodes Two Upstream Exons Alternatively Spliced to the First Coding Exon," Biochem. Biophys. Res. Commun. 171:7-13 (1990)	
✓	D	During, M.J. et al. "In Vivo Expression of Therapeutic Human Genes for Dopamine Production in the Caudates of MPTP-Treated Monkeys using an AAV vector," Gene Ther. 5:820-827 (1998)	
✓	E	Flotte, Terence R. et al., "Stable In Vivo Expression of the Cystic Fibrosis Transmembrane Conductance Regulator with an Adeno-Associated Virus Vector," Proc. Natl. Acad. Sci. USA 90:10613-10617 (1993)	
✓	F	Flotte, Terence et al., "A Phase I Study of an Adeno-Associated Virus-CFTR Gene Vector in Adult CF Patients with Mild Lung Disease," Hum. Gene Ther. 7:1145-1159 (1996)	
✓	G	Folkman, Judah et al., "Angiogenic Factors," Science 235:442-447 (1987)	
	H	Kotin, Robert M., "Prospects for the Use of Adeno-Associated Virus as a Vector for Human Gene Therapy," Hum. Gene Ther. 5:793-801 (1994)	
	I	Kourtis, A.P. et al., "Cardiac Gene Therapy with Adeno-Associated Virus as a Means of Achieving Graft-Specific Immunosuppression," Modern Pathology 8:Abstract No. 178 (1995)	
✓	J	Kurachi, Kotoku et al., "Sequence of the cDNA and Gene for Angiogenin, a Human Angiogenesis Factor," Biochemistry 24:5494-5499 (1985)	
SL	K	Kurokawa, Tsutomu et al., "Cloning and Expression of cDNA Encoding Human Basic Fibroblast Growth Factor," FEBS Lett. 213:189-194 (1987)	

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Examiner Signature	Shin-Lin Chen	Date Considered	4-3-03
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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SM	L	Lebkowski, Jane S. et al., "Adeno-Associated Virus: a Vector System for Efficient Introduction and Integration of DNA into a Variety of Mammalian Cell Types," Mol. Cell. Biol. 8:3988-3996 (1988)	
	K	Leung, David W. et al., "Vascular Endothelial Growth Factor is a Secreted Angiogenic Mitogen," Science 246:1306-1309 (1989)	✓
	M	Lynch, Carmel M. et al., "Adeno-Associated Virus Vectors for Vascular Gene Delivery," Circ. Res. 80:497-505 (1997)	
	N	Maeda, Yoshikazu et al., "Gene Transfer into Vascular Cells Using Adeno-Associated Virus (AAV) Vectors," Cardio. Res. 35:514-521 (1997)	✓
	O	Monahan, P.E. et al., "Direct Intramuscular Injection with Recombinant AAV Vectors Results in Sustained Expression in a Dog Model of Hemophilia," Gene Ther. 5:40-49 (1998)	✓
	P	Podsakoff, Greg et al., "Efficient Gene Transfer into Nondividing Cells by Adeno-Associated Virus-Based Vectors," J. Virol. 68:5656-5666 (1994)	✓
	Q	Schaper, W., "Angiogenesis in the Adult Heart," Basic Res. Cardiol. 86(Supp. 2):51-56 (1991)	✓
	R	Snyder, Richard O., "Persistent and Therapeutic Concentrations of Human Factor IX in Mice After Hepatic Gene Transfer of Recombinant AAV Vectors," Nature Genet. 16:270-276 (1997)	✓
	S	Svensson, Eric C. et al., "Efficient and Stable Transduction of Cardiomyocytes After Intramyocardial Injection or Intracoronary Perfusion With Recombinant Adeno-Associated Virus Vectors," Circulation 99:201-205 (1999)	✓
SM	T	"Phase I Randomized Study of Adeno-Associated Virus-CFTR Vector in Patients with Cystic Fibrosis," <a href="http://www.clinicaltrials.gov/ct/gui/c/w1r/show/NCT00004533?order=1&amp;JservSessionIdzone_ct=xnrwsoycu1">www.clinicaltrials.gov/ct/gui/c/w1r/show/NCT00004533?order=1&amp;JservSessionIdzone_ct=xnrwsoycu1</a> (downloaded from website on June 13, 2002)	

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<b>Examiner Name</b>	Shin Lin Chien
<b>Attorney Docket Number</b>	104914.127US1

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Sheet 1 of 1

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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